

AC

Jacobson 5-12
Serial No. 09/851101
Filing Date: 5/8/01

(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 0 955 783 A2

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
10.11.1999 Bulletin 1999/45

(51) Int Cl.⁶: H04Q 7/38

(21) Application number: 99303290.3

(22) Date of filing: 27.04.1999

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(72) Inventor: Berenzweig, Adam L.
New York, New York 10003 (US)

(74) Representative:
Watts, Christopher Malcolm Kelway, Dr. et al
Lucent Technologies (UK) Ltd,
5 Mornington Road
Woodford Green Essex, IG8 0TU (GB)

(30) Priority: 07.05.1998 US 73870

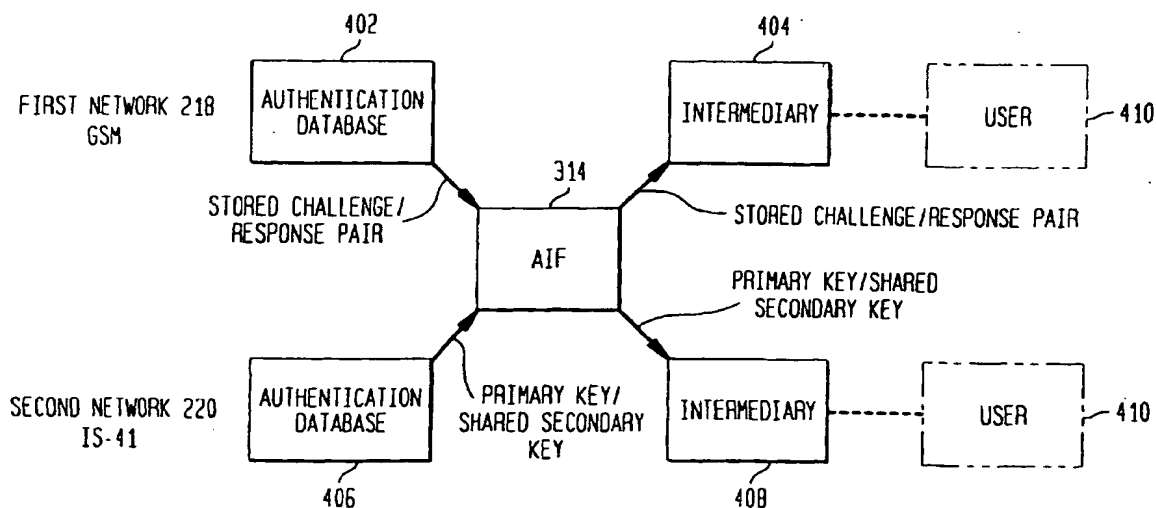
(71) Applicant: LUCENT TECHNOLOGIES INC.
Murray Hill, New Jersey 07974-0636 (US)

(54) Method and apparatus for performing authentication for roaming between different mobile communication systems

(57) A method and apparatus for permitting global roaming between two communication networks which utilize different authentication schemes. The authentication interoperability function (AIF) and method translate between the authentication schemes of each network. for example, a triplet-based network and a shared

secret data (SSD) network. When a user from a network that natively uses SSD authentication roams into a triplet-based network, the authentication interoperability function produces triplets from the current SSD. When a triplet user roams into an SSD network, the AIF produces SSD from the triplet.

FIG. 11



EP 0 955 783 A2

Description

Field of the Invention

[0001] The present invention relates to authentication of users in a communication system, and more particularly to the authentication of users in a wireless network as the user roams between two communication systems with differing authentication schemes.

Description of the Related Art

[0002] There are currently different communication standards utilized in the U.S., Europe, and Japan. The U.S. currently utilizes three major systems, with differing standards. The first system is a time division multiple access system (TDMA) and is governed by IS-136, the second system is a code division multiple access (CDMA) system governed by IS-95, and the third is the Advanced Mobile Phone System (AMPS). All three communication systems use the IS-41 standard for intersystem messaging, which defines the authentication procedure.

[0003] In TDMA, users share a frequency band, each user's speech is stored, compressed and transmitted as a quick packet, using controlled time slots to distinguish them, hence the phrase "time division". At the receiver, the packet is decompressed. In the IS-136 protocol, three users share a given channel.

[0004] Traditional systems transmit a single strong signal, perhaps intermittently, on a narrow band. In contrast, CDMA works in reverse, sending a weak but very broad band signal. A unique code "spreads" the signal across the wide area of the spectrum (hence the alternative name - spread spectrum), and the receiver uses the same code to recover the signal from the noise. A very robust and secure channel can be established, even for an extremely low-power signal - theoretically, the signal can be weaker than the noise floor. Further, by using different codes, a number of different channels can simultaneously share the same spectrum, without interfering with each other.

[0005] The AMPS system is an analog system.

[0006] Europe utilizes the Global System for Mobiles (GSM) network as defined by the European Telecommunications Standard Institute (ETSI). GSM now has the support of 80 operators in over 40 countries including countries outside of Europe. GSM is a TDMA standard, with 8 users per channel. The speech is taken in 20 msec windows, which are sampled, processed, and compressed.

[0007] GSM is transmitted on a 900 MHz carrier. There is an alternative system operating at 1.8 GHz (DCS 1800), providing additional capacity, and is often viewed as more of a personal communication system (PCS) than a cellular system. In a similar way, the U.S. has also implemented DCS-1900, another GSM system operating on the different carrier of 1.9 GHz.

[0008] Personal Digital Cellular (PDC) is the Japanese standard, previously known as JDC (Japanese Digital Cellular). A TDMA standard similar to the U.S. IS-54 protocol, PDC is not in use anywhere else in the world.

[0009] The GSM network utilizes a user identification module (UIM) which is a credit card size card which is owned by a subscriber, who slides the UIM into any GSM handset to transform it into "their" phone. It will ring when their unique phone number is dialed, calls made will be billed to their account; all options and services connect; voice mail can be connected and so on. People with different UIMs can share one "physical" handset, turning it into several "virtual" handsets, one per UIM.

[0010] Similar to the U.S. systems, the GSM network also permits "roaming", by which different network operators agree to recognize (and accept) subscribers from other networks, as phones (or UIMs) move. So, British subscribers can drive through France or Germany, and use their GSM phone to make and receive calls (on their same UK number), with as much ease as an American businessman can use a phone in Boston, Miami, or Seattle, within any one of the U.S. systems.

[0011] Regardless of the telephone communication system, when a subscriber places a call, his or her telephone indicates to the service provider the identity of the caller for billing purposes. The service provider must then "authenticate" the identity of the caller in order to ensure that he or she is an authorized user.

[0012] The GSM authentication scheme is illustrated in prior art Figures 1 and 2. This authentication scheme includes a home location register (HLR) 10, a visiting location register (VLR) 20, and a mobile terminal (MT) 30, which includes a UIM 32. When the mobile terminal 30 places a call, a request is sent to the home location register 10, which generates an authentication triplet (RAND, SRES, K_c) from a root key K_i . The triplet includes a random number RAND, a signed response SRES, and a session key K_c . The triplet is provided to the visiting location register 20, which passes the random number RAND to the mobile terminal 30. The UIM 32 receives the random number RAND, and utilizing the root key K_i , the random number RAND, and an algorithm A3, calculates a signed response SRES. The UIM 32 also utilizes the root key K_i and the random number RAND, and an algorithm A8 to calculate the session key K_c .

[0013] The SRES, calculated by the UIM 32, is returned to the visiting location register 20, which compares this value from the SRES received from the home location register 10, in order to authenticate the subscriber using the mobile terminal 30.

[0014] In the GSM "challenge/response" authentication system, the visiting location register 20 never receives the root key K_i being held by the UIM 32 and the home location register 10. The VLR 20 also does not need to know the authentication algorithms used by the

HLR 10 and UIM 32. Also, in the GSM authentication scheme, the triplet must be sent for every phone call by the home location register 10. RAND is 128 bits, SRES is 32 bits, and K_c is 64 bits, which is 224 bits of data for each request, which is a significant data load.

[0015] The IS-41 authentication scheme, used in U.S. TDMA, CDMA and AMPS systems, is illustrated in prior art Figures 3(a), 3(b) and 4. This authentication scheme involves a home location register (HLR) 40, a visiting location register (VLR) 50, and a mobile terminal (MT) 60, which includes a UIM 62. The root key, known as the A_{key} , is stored only in the HLR 40 and the UIM 62. There is a secondary key, known as Shared Secret Data SSD, which is sent to the VLR 50 during roaming. SSD is generated from the A_{key} and a random seed RANDSSD using a cryptographic algorithm, as illustrated in Figure 3(a). In the IS-41 network, this algorithm is CAVE (Cellular Authentication and Voice Encryption). When the MT 60 roams to a visiting network, the VLR 50 sends an authentication request to the HLR 40, which responds by sending that subscriber's SSD.

[0016] Once the VLR 50 has the SSD, it can authenticate the MT 30 independently of the HLR 40, as illustrated in Figure 3(b). The VLR 50 sends a random number RAND to the UIM 62 via the MT 60, and the UIM 62 calculates the authentication response (AUTHR) using RAND and the stored value of SSD in UIM 62. AUTHR is returned to the VLR 50, which checks it against the value of AUTHR that it has independently calculated in the same manner. If the two AUTHR values match, the MT 60 is declared valid.

[0017] This scheme is efficient in two ways. One, the amount of data passed over the long-distance signaling link between the HLR 40 and the VLR 50 is very small (the 128-bit SSD), and one such transfer is sufficient for the entire registration period. Two, the VLR 50 may authenticate the user before assigning a traffic channel which is possible because RAND can be generated locally and need not be generated by the HLR 40.

[0018] To generate encryption session keys, the internal state of the CAVE algorithm is preserved after the authentication calculation. Several levels of encryption keys are then calculated using the post-authentication state of CAVE and the current value of SSD, as illustrated in Fig. 4.

[0019] The goal of the International Mobile Telecommunications - 2000 (IMT-2000) standards development effort is to provide a global telecommunications system which will support a phone subscription anywhere in the world and will also permit a subscriber to "roam globally". In order to realize this system, interfaces must be provided between the various systems (GSM, IS-41, PDC, etc.) which permit subscribers from different systems to "roam" into other systems. Currently such "global" roaming is unavailable. The International Telecommunication Union (ITU) is working to develop standards which allow global roaming which will be accomplished with a standardized network-to-network interface (NNI)

and UIM-MT interface, which must be capable of passing messages which permit proper authentication of the identity of each caller.

[0020] Several types of global roaming are permitted including: removable UIMs, multi-mode terminals (terminals that can communicate with more than one air interface standard), and downloadable UIMs (terminals which receive service profile information over the air). All three roaming scenarios are equivalent for the purposes of the present invention. What matters is that a UIM from one network is visiting a network with a different authentication scheme, and the UIM must be authenticated using the security architecture of the local network.

Summary Of The Invention

[0021] The present invention addresses the authentication problem by providing an authentication interoperability function (AIF) that permits the authentication of users as they roam between networks that use different authentication schemes. More specifically, interoperability is possible if one network uses stored authentication triplets and a second network uses shared secondary keys, also known as shared secret data (SSD).

[0022] An authentication interoperability function (AIF) translates between the authentication schemes of each family of communication networks (IS-41, GSM, PDC). The AIF may be located at the HLR (Home Location Register) or AC (Authentication Center) of the home network, the VLR (Visited Location Register) of the visited network, or as a stand-alone interworking function (IWF) located elsewhere in the network.

[0023] When a user from a network that natively uses SSD authentication roams into a triplet-based network, the AIF will produce triplets from the current SSD. When a triplet user roams into an SSD network, the AIF will produce SSD from triplet(s).

[0024] The AIF of the present application preserves the current authentication architecture in each communication network family (GSM, IS-41, PDC), concentrates the changes which make the two communication networks compatible to the AIF, the Network-to-Network Interface (NNI), and the User Identity Module (UIM), and preserves the current level of security in each system.

Brief Description Of The Drawings

[0025]

Figure 1 is a block diagram illustrating the basic components of the prior art global system for mobiles (GSM) network;

Figure 2 is a prior art diagram of messages transmitted in the GSM network;

Figures 3(a) and 3(b) are block diagrams illustrating

the basic components of the prior art IS-41 network;

Figure 4 illustrates the messages transmitted in the prior art IS-41 network illustrated in Figure 3:

Figure 5 is a block diagram of a generic communication system;

Figure 6 is a block diagram of a generic mobile telecommunication system;

Figure 7 is a block diagram illustrating how an IS-41 user roams into a GSM network;

Figure 8 illustrates how a GSM user roams into an IS-41 network;

Figure 9 illustrates the roaming IS-41 user in more detail;

Figure 10 illustrates the roaming GSM user in more detail; and

Figure 11 illustrates a general network interface.

Detailed Description Of The Invention

[0026] The present invention discloses how to authenticate a global roamer in IMT-2000. An authentication interoperability function is provided that integrates the authentication architectures of GSM MAP and IS-41 MAP. In particular, an authentication interoperability function (AIF) translates between the authentication schemes of the two families (for example, IS-41 and GSM). When an IS-41 user roams into a GSM network, the AIF produces triplets from the current SSD. When a GSM user roams into an IS-41 network, the AIF produces SSD from a single triplet.

[0027] Figure 5 illustrates a basic communication system. A terminal 102 communicates with a network 104, which is connected to an authentication center 106. The network 104 is connected to a second network 114 via a Network-to-Network interface (NNI) 222. The network 114 is connected to a terminal 116 and an authentication center 112.

[0028] A basic mobile communication system is illustrated in Figure 6.

[0029] In the embodiment illustrated in Figure 6, the home location register (HLR) 302 and visiting location register (VLR) 304 belong to the first network 218 and the home location register (HLR) 306 and visiting location register (VLR) 308 belong to the second network 220. When the first network and the second network utilize different authentication schemes for authenticating the user of mobile terminal 310 with UIM 312, a problem arises regarding how the user is authenticated. The present invention solves this problem by providing an authentication interoperability function, which translates

between the authentication schemes of the two networks. The authentication interoperability function disclosed in the present application describes how to authenticate a "global" roamer, such as within IMT-2000.

This authentication interoperability function integrates the authentication architectures of two networks which utilize different authentication schemes, such as the GSM network and the IS-41 network. A more detailed illustration of the network elements utilized in the GSM network and the IS-41 network is illustrated in Figures 7 and 8.

[0030] Figure 7 illustrates that the first network 218 is a GSM network. This network includes a home location register 302, a visiting location register 304, and a mobile terminal 310 with UIM 312. The second network 220 is an IS-41 network which includes a home location register 306, a visiting location register 308, and a mobile terminal 311 with UIM 312. The authentication interoperability function 314 is utilized when the user of UIM 312 roams to another system, such as the GSM network, as illustrated in Figure 7.

[0031] Figure 8 illustrates the converse situation, where a user from the GSM network roams to the IS-41 network.

IS-41 User Roaming in a GSM Network

[0032] When an IS-41 user roams to a GSM network, the AIF 314 generates an authentication triplet from SSD. As illustrated in Figure 9, the HLR 306 sends the currently stored SSD to the AIF 314, which uses the SSD to generate a triplet, which is sent to VLR 304. Then, the VLR 304 authenticates the UIM 312 by sending RAND to the UIM 312 via the MT 310. The UIM 312 generates SRES and K_c with RAND and SSD and sends SRES and K_c to the MT 310. The MT 310 sends SRES to the VLR 304, which compares this SRES with the SRES received from the AIF 314 to authenticate the user. The GSM VLR 304 sends a request for triplets across the Network-to-Network Interface (NNI) 222 to the AIF 314 via a registration notification message (NNI REG-NOT). The AIF 314 retrieves the user's SSD from the IS-41 HLR 306 and uses it to calculate triplets (RAND, SRES, K_c). The triplets are sent to the GSM VLR 304 via the response message NNI REGNOT. The AIF 314 is equipped with CAVE (or the current authentication algorithm in the IS-41 network's Common Cryptographic Algorithms (CCA)), which will be used to generate the triplets. Due to the triplet concept, the GSM architecture does not need to know the authentication algorithm; the algorithm only resides in the UIM 312 and the IS-41 HLR 306. In other words, the GSM VLR 304 does not need to have CAVE.

[0033] The size of the challenge and response parameters differ between the IS-41 and the GSM networks. To generate a GSM authentication pair from an IS-41 SSD, the size conversion is performed at the AIF 314; in particular, the AIF 314 generates a 32-bit RAND, cal-

culates an 18-bit authentication response AUTHR, using the CAVE algorithm, using the 32-bit RAND, a 64-bit SSD_A, an identity value, and the authentication data AUTH_DATA. The AIF 314 also generates a 128-bit RAND from the 32-bit RAND and generates a 32-bit SRES from the 18-bit AUTHR by padding on the left with zeros or dummy values.

[0034] Normally, during authentication of an IS-41 call origination, the dialed digits are used as the authentication data AUTH_DATA, which provides protection against replay of a global challenge. This is not done in the GSM network because the triplet might be calculated in advance, when the dialed digits are not known. In addition, a triplet is only used once; therefore there is less danger of a replay attack. Therefore, when generating a GSM triplet from SSD, AUTH_DATA is set to international mobile subscriber identity (IMSI) as it is during a unique challenge.

[0035] The third parameter of the GSM triplet, after RAND and SRES, is the ciphering key K_c . CMEA_KEY, the 64-bit root encryption and voice privacy key, is used for this purpose. CMEA_KEY is generated by the AIF 314 as defined in the IS-41 CCA as:

$K_{c64} = \text{CMEA_KEY}_{64} = \text{CAVE}(\text{SSD_B}, \text{AUTH_STATE})$, where AUTH_STATE is the state of the internal registers of CAVE after the calculation of the authentication response.

[0036] Once K_c is determined, the triplet is complete and is sent to the GSM VLR 304 via the IS-41 HLR (306) and AIF 314 in the NNI REGNOT response message as: NNI REGNOT [RAND₁₂₈, SRES₃₂, K_{c64}].

[0037] Once the GSM VLR 304 receives the triplet, authentication of the IS-41 phone proceeds as usual, except that the UIM 312 calculates the authentication parameters using CAVE. This process is transparent to the GSM network 218 and is conventionally performed in accordance with the standards set forth by ETSI, such that the following messages are created and exchanged:

VLR 304 → MT 310: RIL3-MM AUT-REQ [RAND₁₂₈];

MT 310 → UIM 312: UIM AUTHREQ [RAND₁₂₈];

UIM 312: extracts RAND₃₂ from RAND₁₂₈;

UIM 312: $\text{AUTHR}_{18} = \text{CAVE}(\text{RAND}_{32}, \text{SSD_A}_{64}, [\text{Identity}], \text{AUTH_DATA})$;

UIM 312: $\text{SRES}_{32} = \text{AUTHR}_{18}$ padded on the left 0 or random dummy bits;

UIM 312: $K_c = \text{CMEA_KEY}_{64} = \text{CAVE}(\text{SSD_B}, \text{AUTH_STATE})$;

UIM 312 → MT 310: UIM authreq [SRES₃₂, K_{c64}];

MT 310: stores K_c for ciphering;

MT 310 → VLR 304: RIL3-MM AUT-RESP [SRES₃₂].

[0038] The UIM 312 use the 128-bit authentication challenge (RAND₁₂₈) as a parameter and provides a 32-bit authentication response (SRES) and a 64-bit ciphering key (K_c).

GSM User Roaming in an IS-41 Network

[0039] When a GSM user roams in an IS-41 network, the goal is to create Shared Secret Data (SSD) between the IS-41 VLR 308 and the UIM 312 within the mobile terminal 310. As illustrated in more detail in Figure 10, two triplets are sent from the HLR 302 to the AIF 314, which uses them to generate SSD update parameters, which are sent to the VLR 308. The VLR 308 sends RANDGSM_A and RANDGSM_B to the UIM 312 via the MT 311. The UIM 312 uses RANDGSM_A and RANDGSM_B to calculate K_{cA} and K_{cB} which are stored as the new value of SSD. Thereafter, for each system access, the VLR 308 authenticates the UIM 312 independently of the HLR 302,

[0040] using SSD, according to the authentication procedure defined in IS-41.

[0041] The idea is to use triplets to generate the parameters required to perform an SSD update. The result is that the IS-41 VLR 308 shares a key (SSD) with the UIM 312 of the roaming GSM user. Subsequently for each system access, the key can be used with any authentication algorithm common between the UIM 312 and the IS-41 VLR 308.

[0042] Upon detecting a registration attempt from a GSM user, the IS-41 VLR 308 alerts the AIF 314 with a registration notification (NNI REGNOT) message. The AIF 314 then requests two triplets from the GSM HLR 302 of the GSM user. This process is transparent to the GSM network 218 and is done in accordance with the standards set forth by ETSI, such that the following messages are created by the HLR 302 and exchanged with the AIF 314:

HLR 302: Generate 128-bit RANDGSM_A, RANDGSM_B

HLR 302: $K_{c_A} = A8(\text{RANDGSM_A}, K_i)$;

HLR 302: $K_{c_B} = A8(\text{RANDGSM_B}, K_i)$;

HLR 302 → AIF 314: (RANDGSM_A, SRES, K_{c_A}), (RANDGSM_B, SRES, K_{c_B});

[0043] The AIF 314 sends the SSD Update parameters back to the IS-41 VLR 308 in the response to the registration notification message (NNI REGNOT):

AIF 314: NewSSDInfo= (K_c_A, K_c_B);

AIF 314 → VLR 308: NNI regnot [RANDGSM_A, RANDGSM_B, NewSSDInfo].

NewSSDInfo has two parts: NewSSD_A=K_c_A, and NewSSD_B=K_c_B.

[0044] The IS-41 VLR 308 performs a modified SSD Update procedure with the MT 310 (via the IS-41 AUTHDIR message: note that this requires the air interface to carry the 128-bit RANDGSM parameters) after inserting the parameters RANDU and AUTHU. These two parameters are used during the unique challenge which is performed after the SSD Update. Note that this may require changes to IS-41 to allow for the larger (128-bit) RANDGSM parameters to be passed. The following messages are then created and exchanged.

VLR 308: Generate random challenge RANDU

VLR 308: AUTHU=CAVE(RANDU, NewSSD_A, [Identity])

VLR 308 → MT 310: SSD_UPDATE_GSM [RANDGSM_A, RANDGSM_B].

[0045] The MT 310 passes the parameters to the UIM 312 (in the proposed message UIM UpdatessD); which calculates the new SSD:

MT 310 → UIM 312: UIM UpdateSSD [RANDGSM_A, RANDGSM_B];

UIM 312: SSD_A=A8(RANDGSM_A, K_i);

UIM 312: SSD_B=A8(RANDGSM_b, k_i);

UIM 312: NewSSD=(SSD_A, SSD_B);

[0046] Shared secret data now exists between the IS-41 VLR 308 and the GSM UIM 312. For the rest of the registration period, the UIM 312 uses SSD_A rather than K_i to calculate authentication parameters. Similarly, ciphering keys are calculated with the secret SSD_B.

A Common Authentication Algorithm

[0047] There is now a secret key shared between the IS-41 VLR 308 and the UIM 312. In order for the VLR 308 to perform authentication and session key generation with the mobile terminal 310, there also needs to be a common cryptographic algorithm shared between the two entities. This algorithm could be CAVE, A3/A8, or any other authentication or key generation algorithm.

[0048] If the changes are to be isolated in the UIM 312, CAVE is inserted into the UIM 312 along with the algorithm A3. When in a native GSM network, A3 is used

with the root key K_i. When roaming into an IS-41 network, CAVE is used with the SSD as described above.

[0049] If the changes are to be isolated in the IS-41 network, the algorithm A3 is included in the IS-41 network. The IS-41 VLR 308 would then use CAVE to authenticate native IS-41 phones, and A3 to authenticate GSM roamers.

Interoperability with PDC

[0050] The Japanese PDC signaling MAP uses an authentication scheme that is very close to a triplet-based architecture. When roaming users register in a visited network, there are two versions of the Inter-Network Authentication Information Retrieval Message which is sent from the home network to the visited network. One version simply sends to the Subscriber Authentication Key. The other version sends the Authentication Information List, which contains the random number, signed response, and the ciphering key -i.e., it is an authentication triplet. Therefore, PDC is equivalent to GSM in terms of interoperability with an SSD-based network like IS-41. Since both PDC and GSM networks use a triplet-based architecture, interworking therebetween is relatively easy. However, there is an incompatibility issue regarding the size of the signed response parameter, which is 32 bits in GSM and 64 bits in PDC. One solution is to simply disregard 32 bits of the response returned by the PDC UIM when a PDC user roams to a GSM network. This provides 32 bits less security than PDC users are accustomed to.

Security

[0051] The authentication interoperability function discussed above is designed to preserve the level of security currently enjoyed by each system, in the example above, the GSM and IS-41 networks.

[0052] IS-41 users are currently authenticated with 32-bit challenges and 18-bit responses. The level of security does not change when these parameters are embedded in larger size fields within a GSM triplet.

[0053] GSM users are currently authenticated with 128-bit challenges and 32-bit responses. Authentication of GSM users while roaming in an IS-41 network is done with IS-41 size security parameters, which have fewer bits of real security (18-bit AUTHR vs. 32-bit SRES). However, the security of GSM users at home within their own system is not lessened. In addition, the security of the root key K_i is not compromised when roaming in an IS-41 network because: a) SSD_A is used in place of K_i, and b) the difficulty of walking back to the root key from a challenge/response pair in IS-41 is (size of key - size of AUTHR) = 64-18 = 46 bits, which is more secure than in GSM where each challenge-response pair observed shrinks the keyspace to 64-32 = 32 bits.

[0054] One important impact on IS-41 users is that there is no way to do SSD Update when roaming in a

GSM network. If the current SSD is compromised or corrupt, there is nothing that can be done until the user roams back into an IS-41 network. Additionally, this implies that the user cannot activate the subscription (access the network for the first time) while roaming in a GSM network, because no SSD is yet available.

[0055] GSM triplets are currently used only for a single call. In this authentication interoperability function, when a GSM user roams into a IS-41 network, a single triplet is converted into SSD_A which persists for many calls.

[0056] However, SSD_A is 64 bits long, which provides twice the bits of security as the 32-bit SRES in a triplet. The level of security cannot be more than 64 bits anyway, since everything is generated from the 64-bit root key K_i . On the other hand, authentication is now dependent on A8, which is used to generate SSD_A. The security implications of this are not known.

[0057] Regarding export regulations, the ciphering keys described in this application are 64-bit numbers. However, this can always be lessened to conform to government restrictions. In fact, the UIM AUTHREQ message could be designed with an additional parameter which dictates the size of the ciphering key. This way, longer keys can be used domestically while still providing the capability to roam into global markets that have shorter key sizes.

[0058] Although the description above discusses roaming between the GSM network and the IS-41 network, the AIF 314 of the present invention facilitates communication between any stored challenge/response pair authentication network and any primary key/shared secondary key authentication network. In particular, as illustrated in Figure 11, the first network 218 includes an authentication data base 402 and an intermediary 404. Similarly, the second network 220 includes an authentication data base 406 and an intermediary 408. The AIF 314 of the present invention enables user 410 to roam between the first network 218 and the second network 220, as described above. Additionally, although Figures 7-11 illustrate the AIF 314 as a stand-alone network entity, the functions implemented by the AIF 314 may be built into any one or more of the HLR 302, VLR 304, HLR 306, or VLR 308 of Figures 7-10 or any one or more of the authentication data base 402, intermediary 404, authentication data base 406, or intermediary 408 of Figure 11.

Claims

1. An authentication interoperability function for facilitating authentication of a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said authentication interoperability function receiving a challenge/response pair from an authentication data base in the first network, creating a secondary key from the challenge/response pair, and sending the secondary key to an intermediary in the second network to authenticate the user from the first network.
2. The authentication interoperability function of claim 1, wherein the user is a mobile telephone subscriber.
3. The authentication interoperability function of claim 1, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, the intermediary is a visiting location register in the IS-41 network, and the authentication data base is a home location register in the GSM network.
4. The authentication interoperability function of claim 3, wherein the authentication interoperability function is colocated with the home location register in the GSM network.
5. The authentication interoperability function of claim 3, wherein the authentication interoperability function is colocated with the visiting location register in the IS-41 network.
6. The authentication interoperability function of claim 3, wherein the authentication interoperability function is a stand alone network entity.
7. The authentication interoperability function of claim 1, wherein an authentication scheme of the first network is a store challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme.
8. An authentication interoperability function for facilitating authentication of a user from a first network when the user is in the second network, having a different authentication scheme from the first network, said authentication interoperability function receiving a secondary key from an authentication data base from the first network, creating a challenge/response pair from the secondary key, and sending the challenge/response pair to an intermediary in the second network to authenticate the user from the first network.
9. The authentication interoperability function of claim 8, wherein the user is a mobile telephone subscriber.
10. The authentication interoperability function of claim 8, wherein the first network is an IS-41 network, the second network is a Global System for Mobiles (GSM) network, the intermediary is a visiting loca-

tion register in the GSM network, and the authentication data base is a home location register in the IS-41 network.

11. The authentication interoperability function of claim 10, wherein the authentication interoperability function is colocated with the home location register in the IS-41 network. 5
12. The authentication interoperability function of claim 10, wherein the authentication interoperability function is colocated with the visiting location register in the GSM network. 10
13. The authentication interoperability function of claim 10, wherein the authentication interoperability function is a stand alone network entity. 15
14. The authentication interoperability function of claim 8, wherein an authentication scheme of the first network is a primary key/shared secondary key authentication scheme and an authentication scheme of the second network is a stored challenge/response pair authentication scheme. 20
15. A method of authenticating a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said method comprising the steps of: 25
receiving a challenge/response pair from an authentication data base in the first network;
generating a key from the challenge/response pair; and
authenticating the user based on the key.
30
16. The method of claim 15, wherein the key is a secondary key generated from a primary key. 35
17. The method of claim 15, wherein the user is a mobile telephone subscriber. 40
18. The method of claim 15, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, and the authentication data base is a home location register in the GSM network. 45
19. The method of claim 15, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme. 50
20. A method for authenticating a user from a first net-

work when the user is in a second network, having a different authentication scheme from the first network, said method comprising the steps of:

- generating a challenge/response pair from a key;
transmitting the challenge/response pair to an intermediary in the first network;
authenticating the user based on the challenge/response pair.
21. The method of claim 20, wherein the key is a secondary key generated from a primary key.
22. The method of claim 20, wherein the user is a mobile telephone subscriber.
23. The method of claim 20, wherein the first network is an IS-41 network, the second network is a Global System for Mobiles (GSM) network, and the authentication data base is a home location register in the IS-41 network.
24. The method of claim 20, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme.
25. An interface for authenticating a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said interface comprising:
a message containing a challenge/response pair from an authentication data base in the first network to an intermediary in the second network.
26. The interface of claim 25, wherein the user is a mobile telephone subscriber.
27. The interface of claim 25, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, the authentication data base is a home location register in the GSM network, and the intermediary is a visiting location register in the IS-41 network.
28. The interface of claim 25, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme.

29. The interface of claim 25, wherein the first network is an IS-41 network, the second network is a Global System for Mobiles (GSM) network, the authentication data base is a home location register in the IS-41 network, and the intermediary is a visiting location register in the GSM network.

30. The interface of claim 25, wherein an authentication scheme of the first network is a primary key/shared secondary key authentication scheme and an authentication scheme of the second network is a stored challenge/response pair authentication scheme.

31. An interface for authenticating a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said interface comprising:

a message containing a challenge from an intermediary in the first network to the user and a response from the user to the intermediary in the first network.

32. The interface of claim 31, wherein the user is a user identity module (UIM) of a mobile telephone and the intermediary is a visiting location register.

33. The interface of claim 32, wherein the first network is an IS-41 network and the second network is a Global System for Mobiles (GSM) network.

34. The interface of claim 32, wherein the first network is a Global System for Mobiles (GSM) network and the second network is an IS-41 network.

35. The interface of claim 31, wherein the message further contains a random number challenge from the intermediary in the first network to the user from which the user can generate a key.

36. The interface of claim 35, wherein the user is a user identity module (UIM) of a mobile telephone and the intermediary is a visiting location register.

37. The interface of claim 35, wherein the first network is an IS-41 network and the second network is a Global System for Mobiles (GSM) network.

38. The interface of claim 35, wherein the first network is a Global System for Mobiles (GSM) network and the second network is an IS-41 network.

39. An intermediary for authenticating a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said intermediary comprising:

a receiving element for receiving a challenge/response pair from an authentication data base in the first network;

a generating element for generating a key from the challenge/response pair;

an authenticating element for authenticating the user based on the key.

40. The method of claim 39, wherein the key is a secondary key generated from a primary key.

41. The method of claim 39, wherein the user is a mobile telephone subscriber.

42. The intermediary of claim 39, wherein the first network is a Global Systems for Mobiles (GSM) network, the second network is an IS-41 network, the authentication data base in the first network is a home location register in the GSM network, and the intermediary is a visiting location register in the IS-41 network.

43. The method of claim 39, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme.

44. An authentication data base from facilitating authentication of a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said location register comprising:

a generating element for generating a challenge/response pair from a key;

a transmitting element for transmitting the challenge/response pair to an intermediary in the first network which authenticates the user based on the challenge/response pair.

45. The authentication data base on claim 44, wherein the key is a secondary key generated from a primary key.

46. The authentication data base of claim 44, wherein the user is a mobile telephone subscriber.

47. The authentication data base of claim 44, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, the intermediary in the first network is a visiting location register in the GSM network, and the authentication data base is a home location register

in the IS-41 network.

48. The method of claim 44, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme. 5
49. An intermediary for authenticating a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said intermediary comprising: 10
- a receiving element for receiving a challenge/response pair from an authentication data base in the second network, which generated the challenge/response pair from a key; and 15
- an authenticating element for authenticating the user based on the challenge/response pair. 20
50. The method of claim 49, wherein the key is a secondary key generated from a primary key. 25
51. The method of claim 49, wherein the user is a mobile telephone subscriber.
52. The intermediary of claim 49, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, the authentication data base is a home location register in the IS-41 network, and the intermediary is a visiting location register in the GSM network. 30 35
53. The method of claim 49, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme. 40
54. An authentication data base for facilitating authentication of a user from a first network when the user is in a second network, having a different authentication scheme from the first network, said location register comprising: 45
- a generating element for generating a key from a challenge/response pair; 50
- a transmitting element for transmitting the key to an intermediary in the second network which authenticates the user based on the key. 55
55. The authentication data base of claim 54, wherein the key is a secondary key generated from a primary key.

56. The authentication data base of claim 54, wherein the user is a mobile telephone subscriber.
57. The authentication data base of claim 54, wherein the first network is a Global System for Mobiles (GSM) network, the second network is an IS-41 network, the intermediary is a visiting location register in the IS-41 network, and the authentication data base is a home location register in the GSM network.
58. The authentication data base of claim 54, wherein an authentication scheme of the first network is a stored challenge/response pair authentication scheme and an authentication scheme of the second network is a primary key/shared secondary key authentication scheme.

FIG. 1
(PRIOR ART)

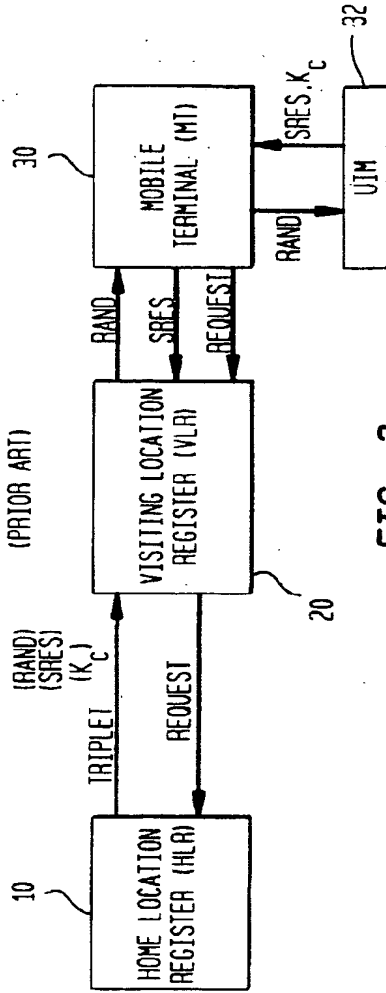


FIG. 2
(PRIOR ART)

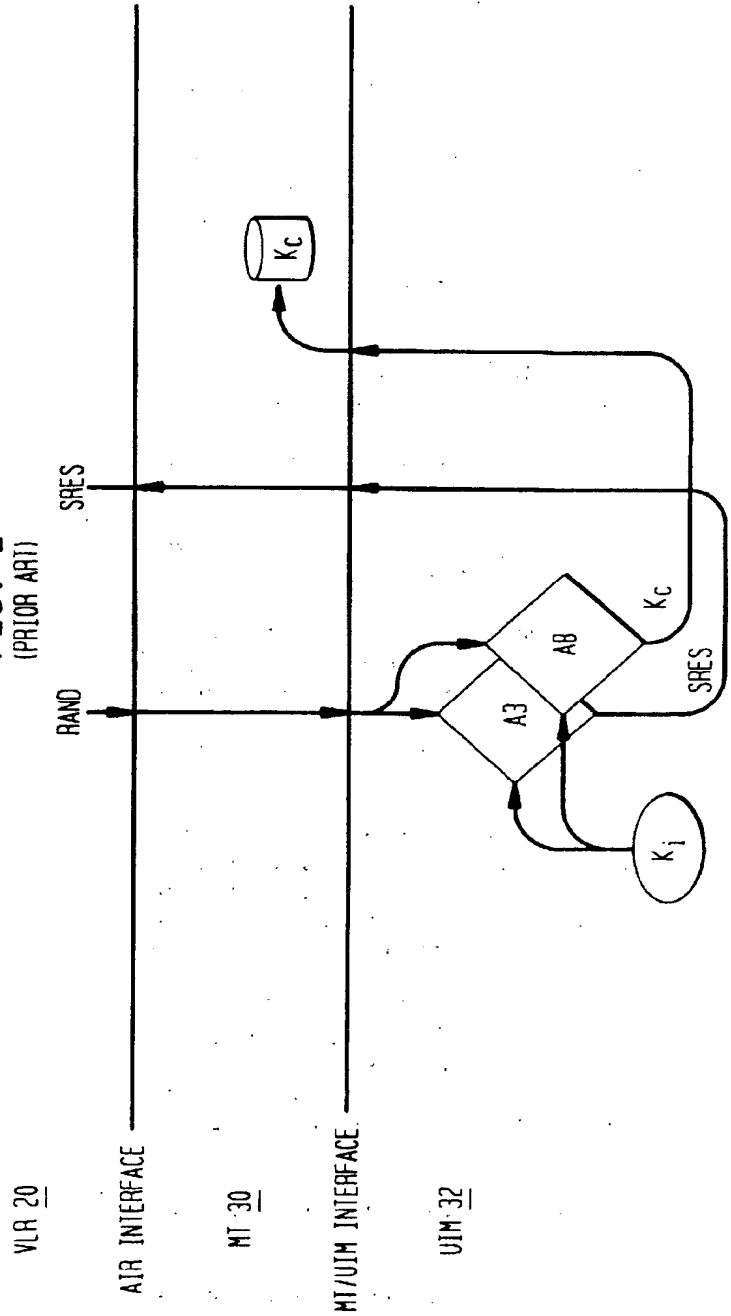


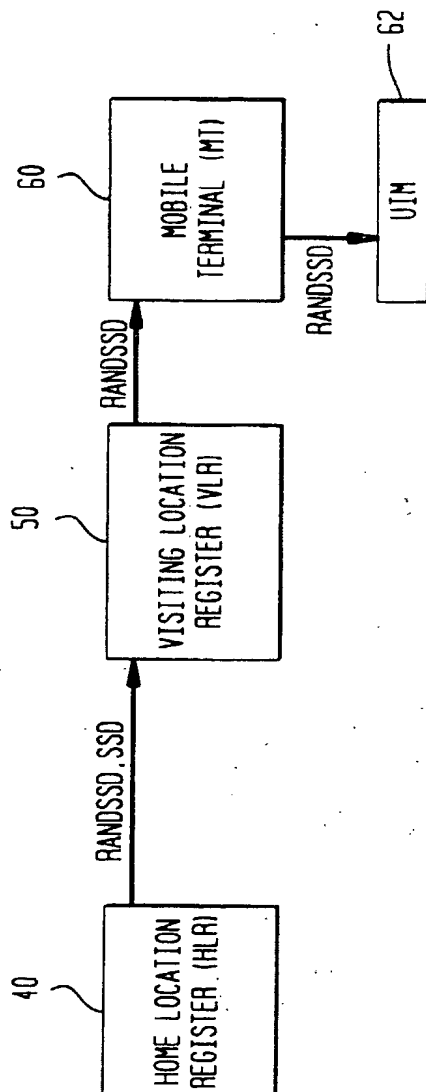
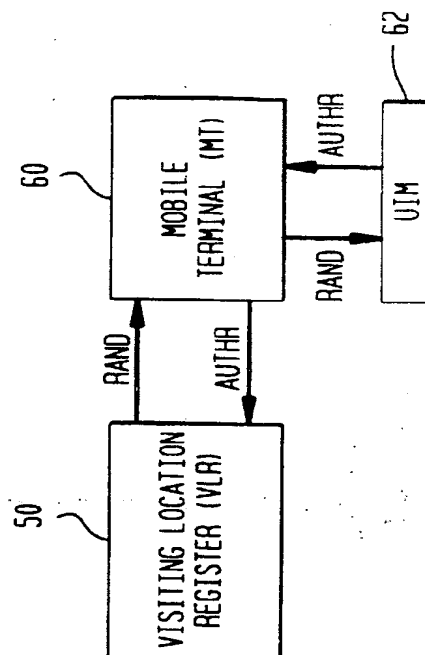
FIG. 3A
(PRIOR ART)**FIG. 3B**
(PRIOR ART)

FIG. 4
(PRIOR ART)

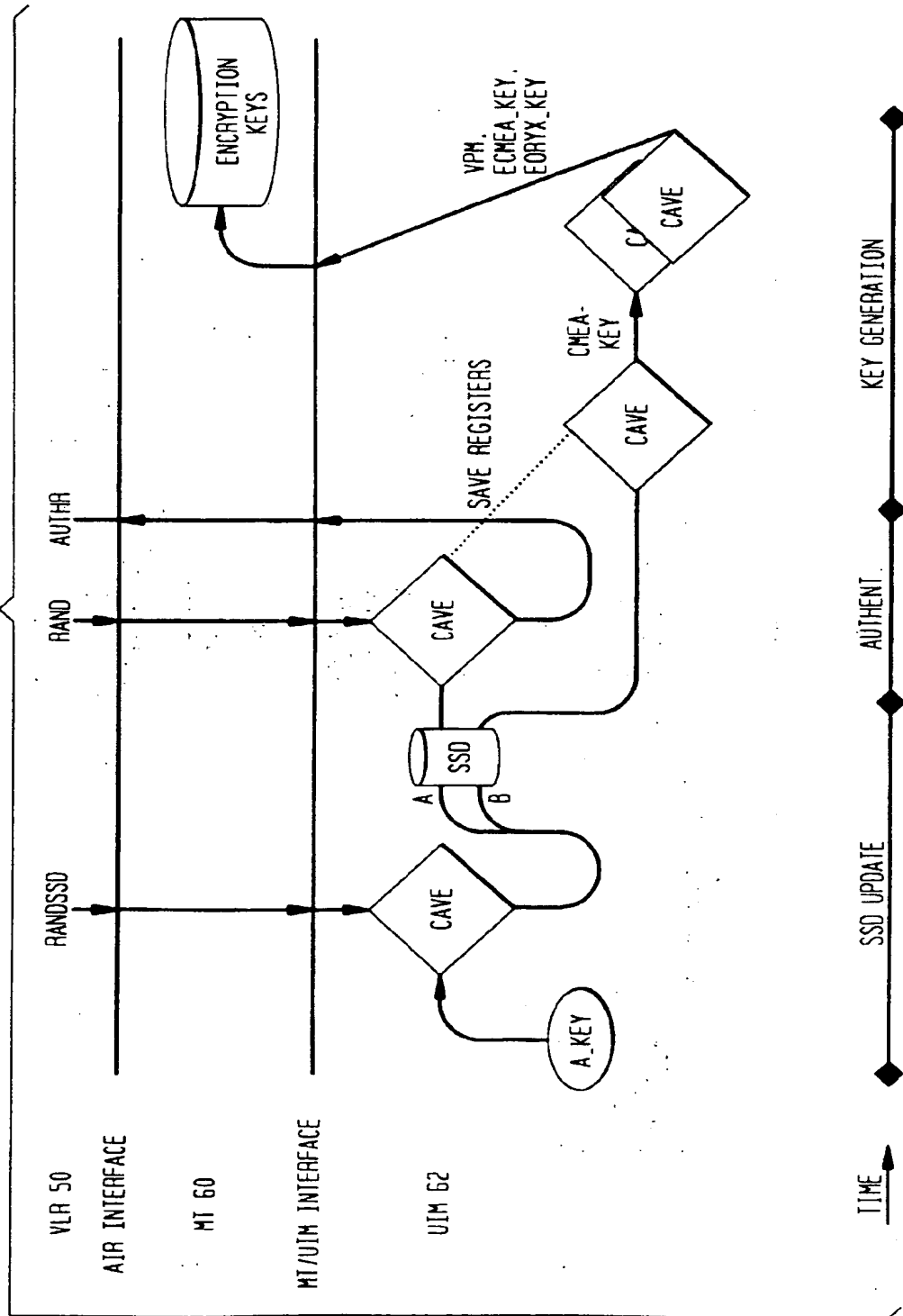


FIG. 5

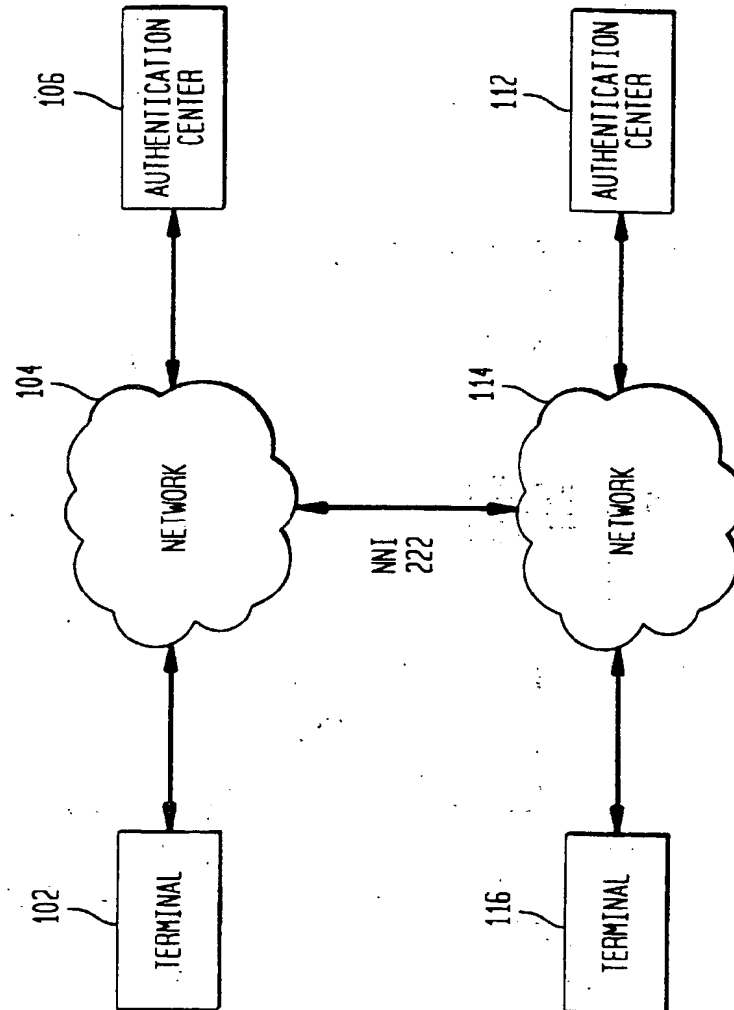


FIG. 6

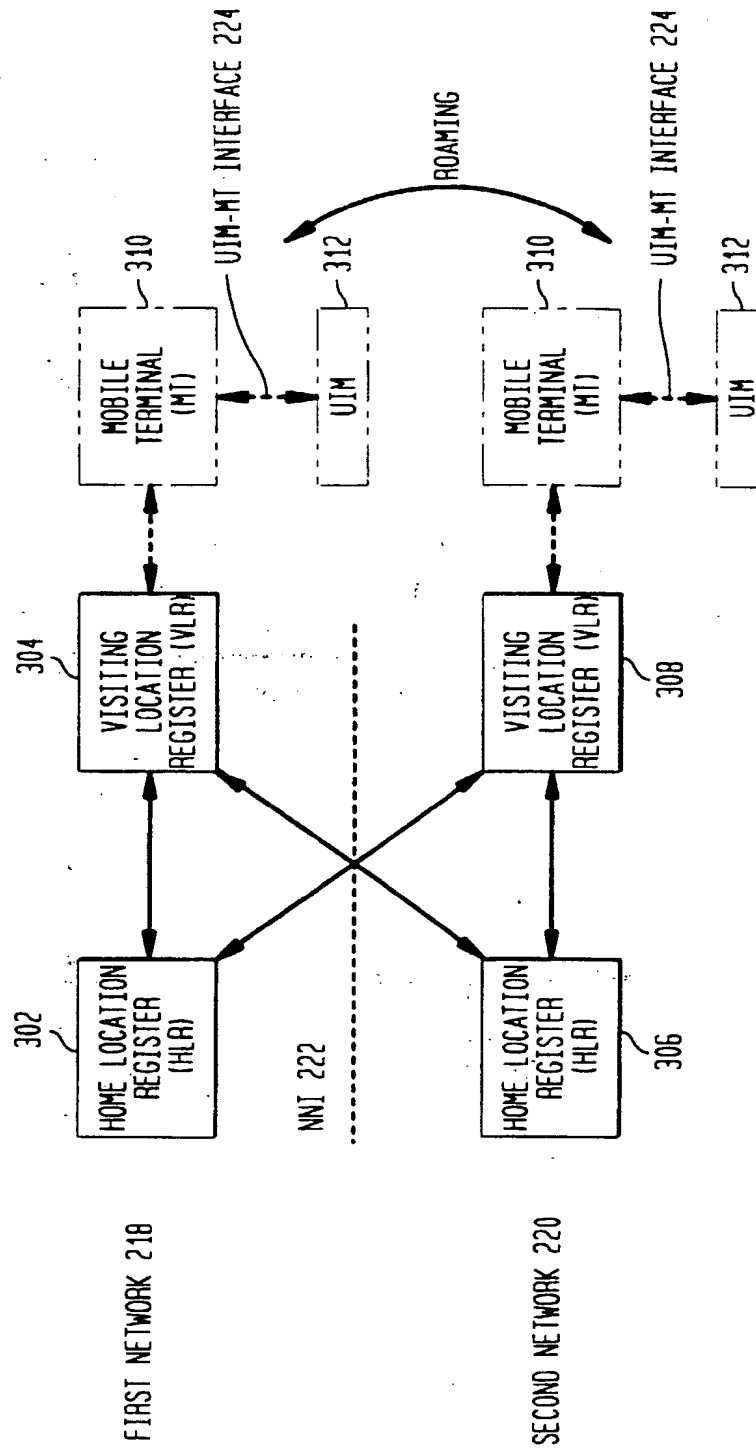


FIG. 7

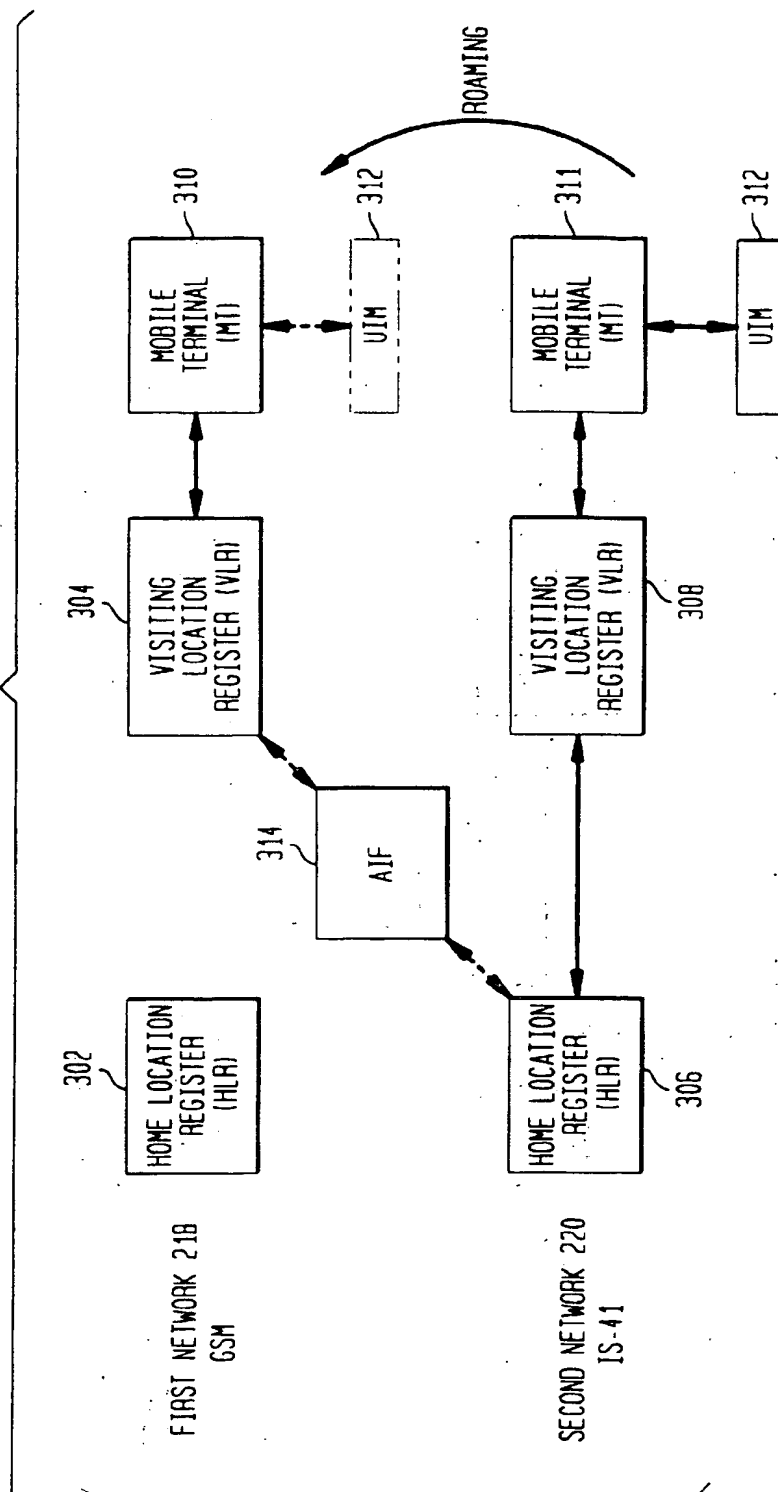


FIG. 8

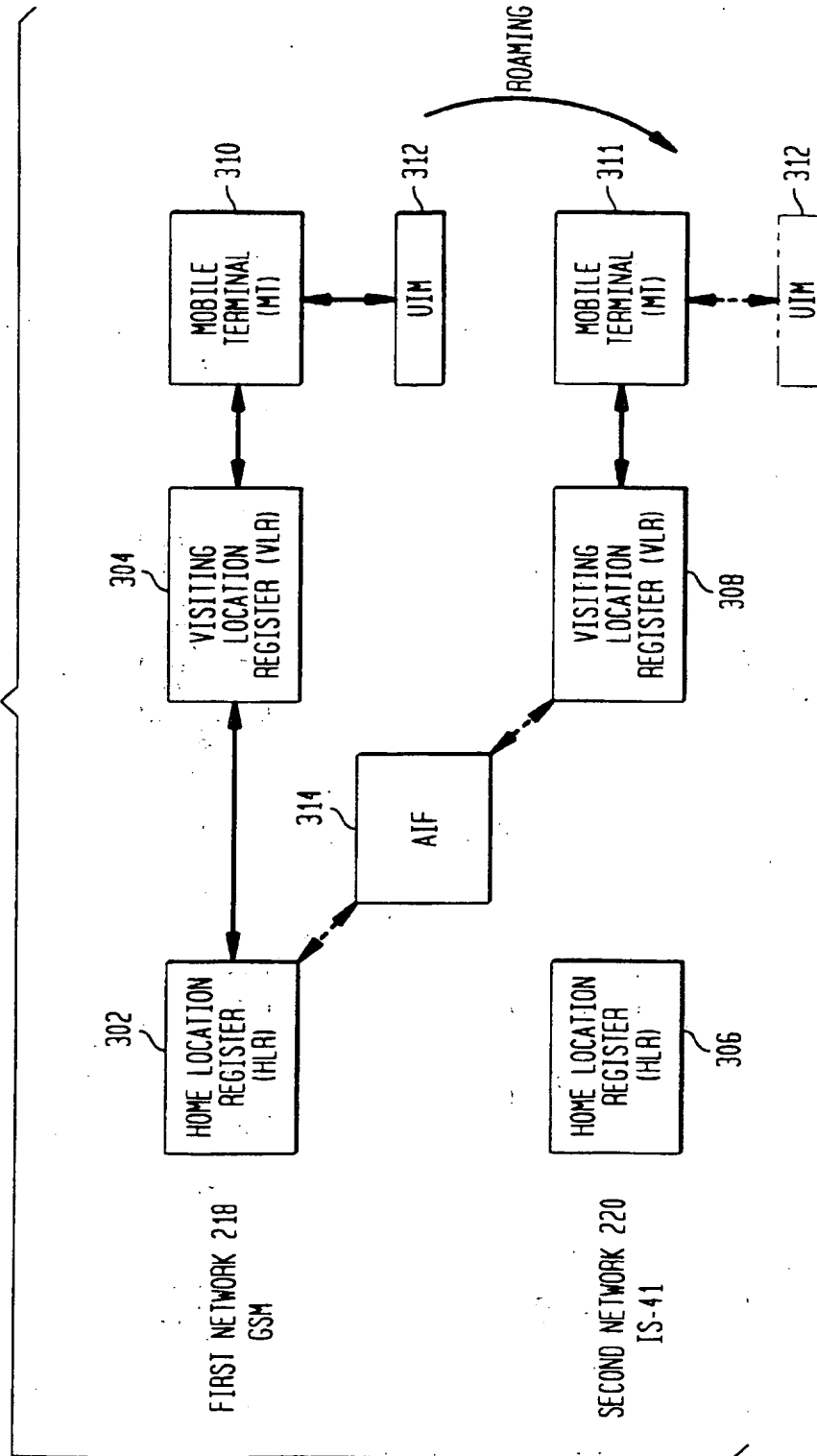


FIG. 9

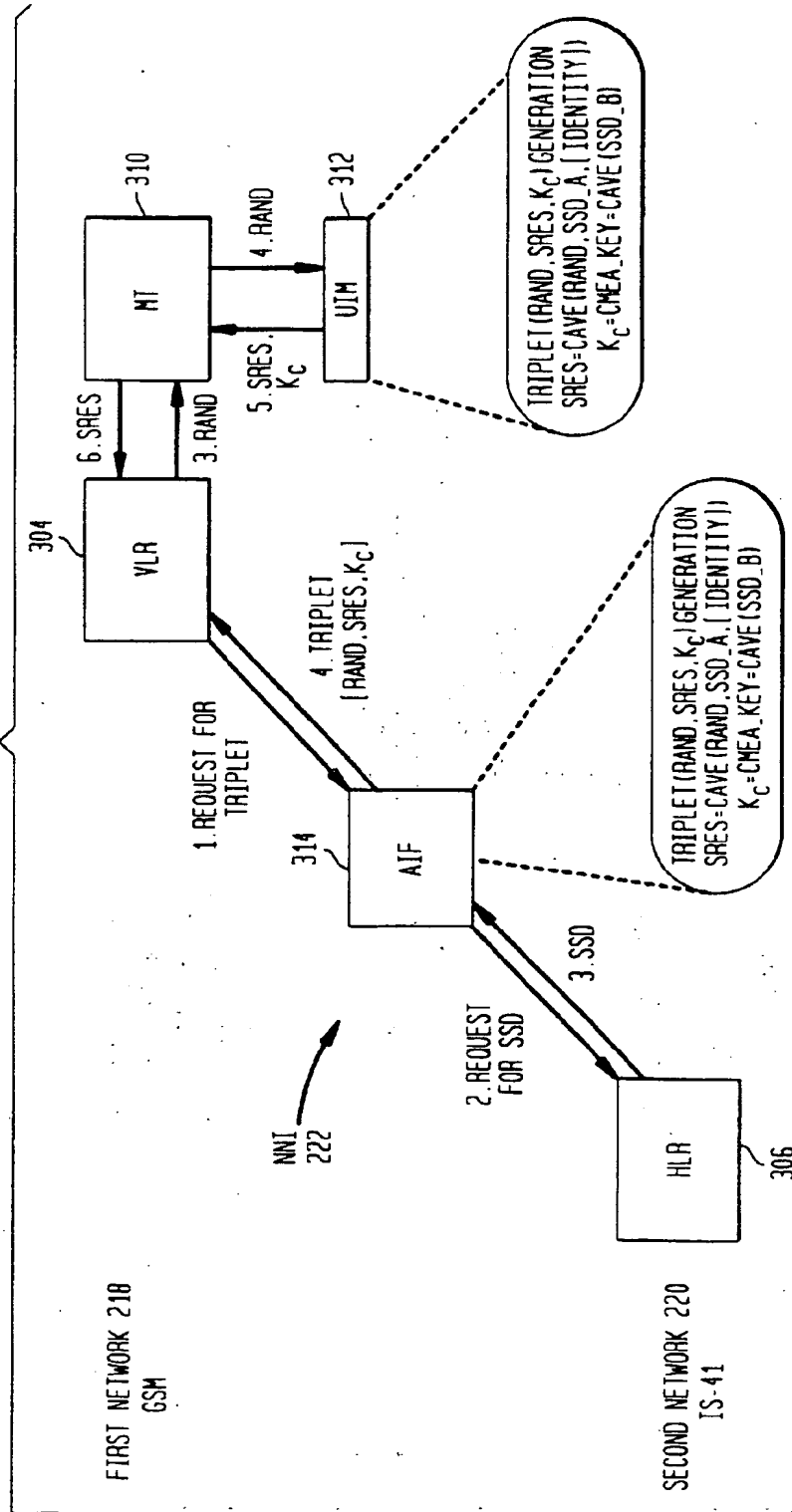


FIG. 10

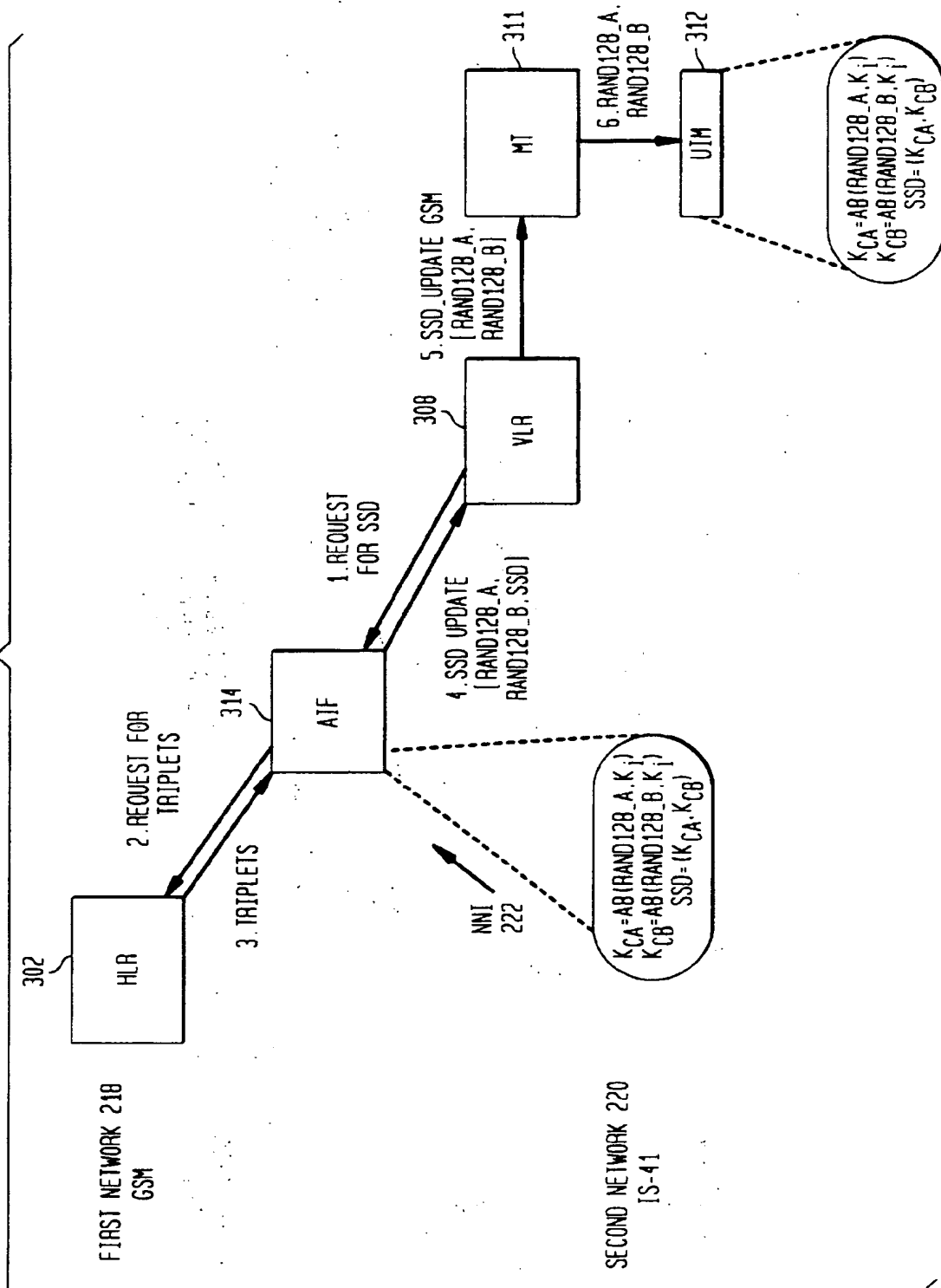
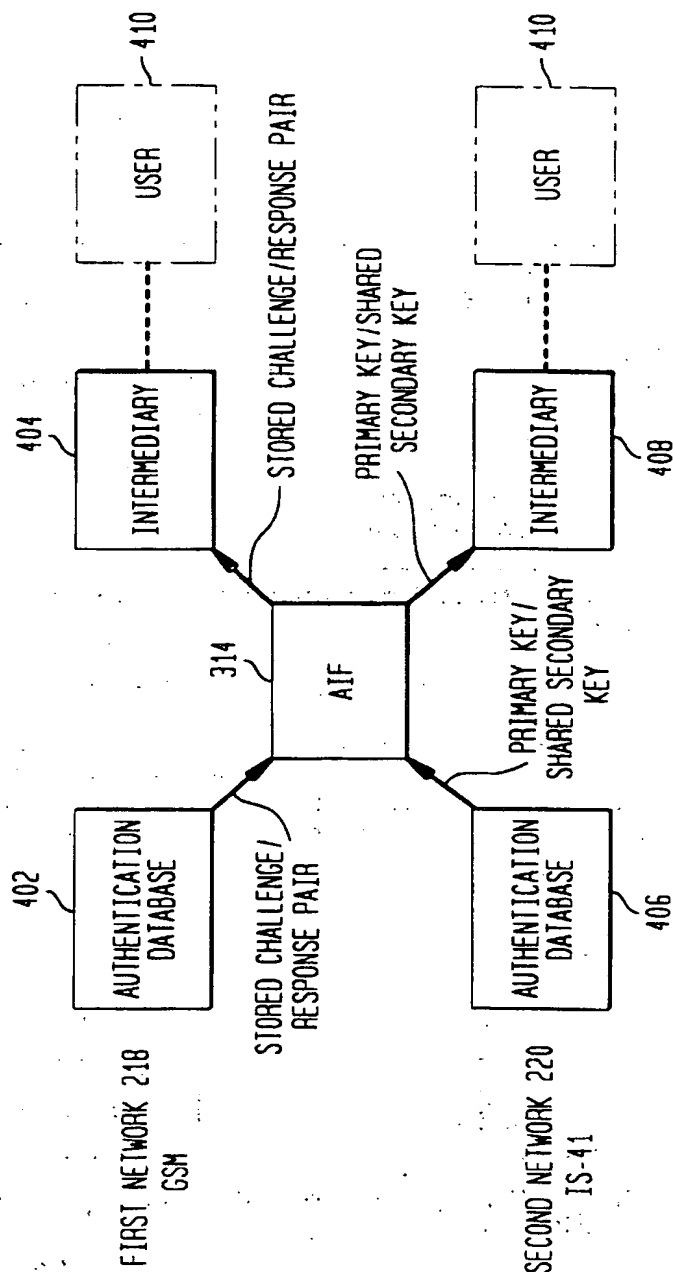


FIG. 11



(19)



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11)

EP 0 955 783 A3

(12)

EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
19.01.2000 Bulletin 2000/03

(51) Int Cl.7: **H04Q 7/38**

(43) Date of publication A2:
10.11.1999 Bulletin 1999/45

(21) Application number: **99303290.3**(22) Date of filing: **27.04.1999**

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**
Designated Extension States:
AL LT LV MK RO SI

(72) Inventor: **Berenzweig, Adam L.**
New York, New York 10003 (US)

(74) Representative:
Watts, Christopher Malcolm Kelway, Dr. et al
Lucent Technologies (UK) Ltd,
5 Mornington Road
Woodford Green Essex, IG8 0TU (GB)

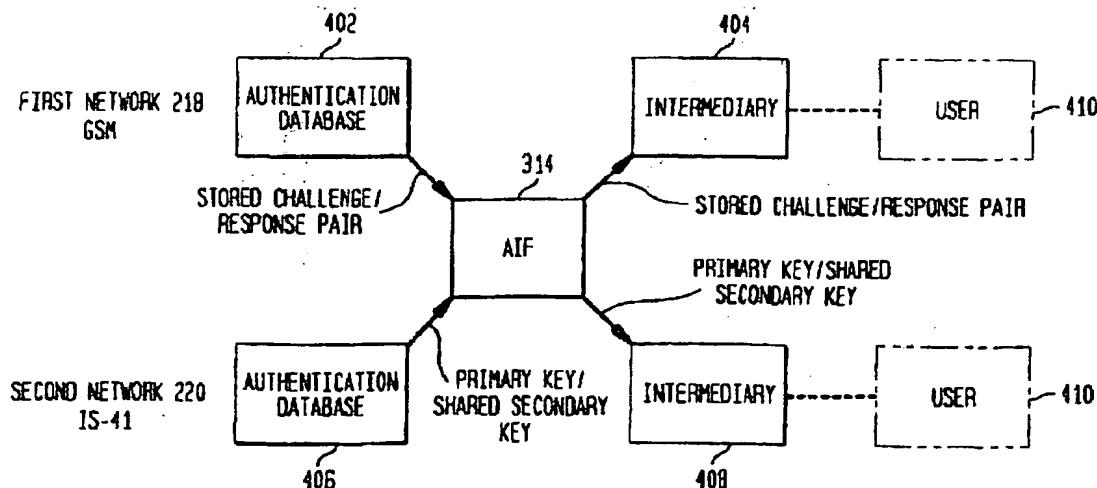
(30) Priority: **07.05.1998 US 73870**

(71) Applicant: **LUCENT TECHNOLOGIES INC.**
Murray Hill, New Jersey 07974-0636 (US)

(54) **Method and apparatus for performing authentication for roaming between different mobile communication systems**

(57) A method and apparatus for permitting global roaming between two communication networks which utilize different authentication schemes. The authentication interoperability function (AIF) and method translate between the authentication schemes of each network, for example, a triplet-based network and a shared

secret data (SSD) network. When a user from a network that natively uses SSD authentication roams into a triplet-based network, the authentication interoperability function produces triplets from the current SSD. When a triplet user roams into an SSD network, the AIF produces SSD from the triplet.

FIG. 11



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 99 30 3290

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
X	WO 97 38544 A (NORTHERN TELECOM LTD) 16 October 1997 (1997-10-16) * page 15, line 9 - page 18, line 16 * * page 23, line 19 - page 26, line 13 *	1-58	H04Q7/38
A	WO 98 00986 A (SYNACOM TECHNOLOGY INC) 8 January 1998 (1998-01-08) * page 6, line 18 - page 7, line 8 * * page 14, line 15 - page 16, line 28 *	1-58	
A	WO 97 36447 A (COLLINS AUGUSTINE ; CUNNINGHAM JOSEPH (IE); DILLON AIDAN (IE); MARK) 2 October 1997 (1997-10-02) * page 9, line 20 - page 12, line 21 *	1-58	
A	WO 97 15161 A (NOKIA TELECOMMUNICATIONS OY ; MURTO JUHANI (US)) 24 April 1997 (1997-04-24) * the whole document *	1-58	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			H04Q
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 26 November 1999	Examiner Roberti, V
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons A : member of the same patent family, corresponding document X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document	

EP0 FORM 1503 03/92 (P/M/C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 99 30 3290

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

26-11-1999

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
WO 9738544	A	16-10-1997	US	5862481 A	19-01-1999
WO 9800986	A	08-01-1998	US	5933784 A	03-08-1999
			AU	3793197 A	21-01-1998
WO 9736447	A	02-10-1997	AU	2520697 A	17-10-1997
			CA	2250109 A	02-10-1997
			EP	0890284 A	13-01-1999
			IE	970238 A	08-10-1997
			IE	970239 A	02-07-1997
WO 9715161	A	24-04-1997	AU	7299196 A	07-05-1997
			CA	2234655 A	24-04-1997
			EP	0856233 A	05-08-1998

EPO FORM P0459

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

This Page Blank (uspto)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

This Page Blank (uspto)